Full Frame Encryption and Modulation Obfuscation
Using Channel-independent Preamble Identifier
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Abstract—The broadcast nature of wireless communications exposes various “transmission attributes,” such as the packet size, inter-packet times, and the modulation scheme. These attributes can be exploited by an adversary to launch passive (e.g., traffic analysis) or selective jamming attacks. This security problem is present even when frame headers and payloads can be encrypted. For example, by determining the modulation scheme, the attacker can estimate the data rate, and hence the payload size.

In this paper, we propose Friendly CryptoJam (FCJ). FCJ decorrelates the payload’s modulation scheme from other transmission attributes by embedding information symbols into the constellation map of the highest-order modulation scheme supported by the system (a concept we refer to as indistinguishable modulation unification). Such unification is done using minimum-complexity trellis-coded modulation that is combined with a secret pseudo-random sequence to conceal the structure imposed by the code. It preserves the BER performance of the original modulation scheme (before unification). At the same time, modulated symbols are encrypted to hide PHY-/MAC layer fields. To identify the Tx and synchronously generate the secret sequence at the Tx/Rx, an efficient identifier embedding technique based on Barker sequences is proposed, which exploits the structure of the preamble and overlays a frame-specific identifier on it. We study the implications of the scheme on PHY-layer functions through simulations and USRP-based experiments. Our results confirm the efficiency of FCJ in hiding the targeted attributes.

Index Terms—PHY-layer security, side-channel information, modulation unification, preamble, untraceable TCM, USRP.

I. INTRODUCTION

Using commodity radio, unauthorized parties can easily eavesdrop on wireless transmissions. Although advanced encryption algorithms like AES can be applied to ensure data confidentiality, parts of the frame (e.g., PHY/MAC headers) must be transmitted in the clear for correct protocol operation and device identification. For example, 802.11i, the primary security amendment of 802.11, provides confidentiality only for the MAC-layer payload. Even if we hypothetically encrypt the entire PHY frame, the transmission is not completely immune to eavesdropping. An adversary can still fingerprint encrypted traffic through analyzing its side-channel information (SCI). It refers to statistical traffic features, such as packet size distribution, traffic volume, and inter-packet time sequence. These statistical features can be obtained by estimating and correlating leaked transmission attributes, including frame duration, the modulation scheme, traffic directionality (uplink/downlink), and inter-packet times. Traffic fingerprints can be used to breach user privacy by tracking her or discerning her identity, activity, and interests. For example, by eavesdropping on 802.11 WLAN traffic for only 5 seconds, an adversary (Eve) can determine the type of user activities with 80% accuracy [1]. The sizes (in bytes) and direction of packets exchanged between a mobile user and an access point may reveal what phrase the user is searching for in a search engine [2], and identify the browsed page [3] or the language used in an encrypted instant messaging application. SCI can also facilitate geographically tracking the user by identifying her particular smartphone among many possible devices [4].

By analyzing transmission attributes, Eve can further learn the type or stage of a communication, and launch selective jamming attacks. For example, Noubir et al. [5] demonstrated a reactive jammer that can significantly hammer the network throughput by intercepting the rate field in the header and accordingly decide whether to jam the rest of the frame. If a packet is not correctly decoded as a result of jamming, the transmitter (Alice) mistakenly assumes a poor channel and lowers the rate when retransmitting the same packet, wasting network resources.

To obtain transmission attributes, Eve can intercept unencrypted fields in the PHY and MAC headers [1], [2], [5], [6]. These fields include the source/destination MAC addresses, payload transmission rate and modulation scheme, frame length/duration, traffic directionality, number of MIMO streams, and others. Eve can also perform low-level RF analysis to obtain SCI even when PHY/MAC headers are encrypted, a threat that has not been well-studied in the literature. Consider, for example, the detection of the payload’s modulation scheme of an entirely encrypted PHY frame. Using an off-the-shelf device such as a signal analyzer or a dedicated device equipped with an FPGA [7], one can detect the modulation scheme, and accordingly estimate the payload’s data rate. The same device can also measure the frame duration and determine the packet size based on the estimated data rate.

A. Existing Countermeasures and Their Limitations

We first explain why a naive approach based on encrypting PHY and MAC headers to prevent Eve from intercepting them is not practical. To decrypt them, the intended receiver (Bob) needs to identify the sender at PHY layer among several potential senders and apply the right decryption key. When headers are fully encrypted, none of their fields (e.g., sender’s MAC address) can be used for identification. In addition, MAC address randomization that has recently been employed for hiding the true address (e.g., in the probe requests in Apple iOS 8.1.3) is also not sufficiently helpful. Besides its other vulnerabilities [8], such hidden MAC identifier still cannot be used at the PHY layer. Likewise, Alice-Bob channel or Alice’s
radiometric features cannot be used as an identifier because of mobility and/or inaccuracy of low-end RF receivers [9].

Techniques to prevent SCI leakage can be divided into three categories: SCI obfuscation at upper layers [10]–[14], rate hiding in our initial work [15] and in more recent scheme [16], and eavesdropper deafening at the PHY layer. Upper-layer SCI obfuscation techniques aim at invalidating SCI, usually at the cost of traffic overhead. For example, packet padding can be used to alter the traffic statistics. However, the overhead can be as high as 400% [12]. Traffic reshaping [10] is a MAC layer technique that involves configuring several virtual interfaces with different MAC addresses for the same device so as to create different traffic patterns on each interface. This prevents Eve from associating all the packets with the same sender. Similarly, the sender and receiver can agree on a set of confidential time-rolling MAC addresses [14]. However, these identifier concealment techniques cannot hide certain attributes, including the modulation scheme.

To hide the payload’s modulation scheme, Conceal and Boost Modulation (CBM) was proposed in [16], whereby convolutional codes based on a Generalization of Trellis Coded Modulation (GTCM) are used, combined with a cryptographic interleaving mechanism to conceal the information about the underlying code. GTCM directly encodes the symbols of any modulation scheme into the highest-order modulation scheme. A symmetric-key scheme was also proposed to encrypt the PHY-layer header. While CBM can achieve up to 8 dB asymptotic coding gain (in idealized simulation scenarios), it does not address the issue of sender identification and the decryption of the PHY-layer header. Moreover, the complexity of GTCM codes, interleaving, and expensive symmetric-key encryption result in a large decoding delay at Bob. Due to acute susceptibility of higher-order modulation schemes to phase offset, GTCM codes also suffer significantly from inaccurate FO estimation, reducing its coding gain.

PHY-layer eavesdropper deafening techniques include friendly jamming (FJ), e.g., [17]–[19]. In this method, Eve’s channel is degraded without impacting the channel quality at Bob. This is done using (distributed) MIMO techniques to transmit a jamming signal that is harmless (friendly) to Bob. However, four fundamental issues limit the practicality of this approach. First, if Eve is equipped with multiple antennas too, she can cancel out a transmitter-based FJ signal [20], [21]. For example, Schulz et al. [21] exploited a known part of Alice’s signal (e.g., frame preamble) to estimate the precoding matrix used in generating the FJ signal and then eliminate it from the received signal at Eve. This matrix is supposed to be secret and unique, as it depends on the channel state information (CSI) for the Alice-Bob channel, i.e., it represents a signature of the Alice-Bob channel. This known-plaintext attack can thwart any deafening scheme that relies on signal prefiltering (precoding) at Alice. Furthermore, the uniqueness of the Alice-Bob CSI has been shown not to be true in the presence of strong LOS component [22]. Specifically, a few adversaries located several (∼18) wavelengths away from Bob can cooperatively reconstruct Alice-Bob channel’s signature.

Second, FJ requires additional transmission power and antenna(s), which come at the expense of throughput reduction for the information signal. The FJ power may need to be even higher than the information signal power to achieve nonzero secrecy capacity [18]. Moreover, Alice may not have sufficient number of antennas (degrees of freedom) to apply FJ.

Third, transmitter- and receiver-based FJ (e.g., [19]) are still vulnerable to cross-correlation attacks on (unencrypted) semi-static header fields, the fields that can take one of a few valid values. Eve can detect the start of a frame, even if it is combined with a jamming signal [23]. By knowing where each field is supposed to start in the underlying header format, Eve can pinpoint a targeted field in the received signal. Because of FJ, Eve may not be able to successfully decode the field value. However, she can correlate the sequence of modulated symbols of each possible value with the received signal and guess the true field value [15]. In general, this cross-correlation attack can be formulated as a composite hypothesis testing.

Last but not least, FJ cannot effectively hide the modulation scheme and frame duration. If the jamming signal is random, Eve can employ detection techniques for low SNR (e.g., [7], [24]) to detect the modulation scheme. Even if the FJ signal takes the form of a digitally modulated signal (as opposed to random noise), Eve may still detect the modulation scheme of the payload by analyzing the order and constellation map of the received superposition. The superposition of the I and Q components of the complex symbols that belong to the two signals results in a modulation scheme whose order and constellation depend on the original schemes and the respective received powers. Therefore, the resulting constellation map can disclose the constituent modulation schemes.

B. Overview of Friendly CryptoJam

To address the aforementioned limitations, we propose Friendly CryptoJam (FCJ), a form of friendly jamming but with the information and jamming signals intermixed right after the digital modulation phase and before the frame is transmitted over the air. Our intermixing method makes FCJ a form of modulation-level encryption (for the whole frame) and also a form of modulation obfuscation (for the PHY-layer payload). To generate a secret FJ sequence, Alice exploits an unpredictable sender identifier as a seed, which is then embedded in the frame preamble (i.e., a PHY-layer identifier). This way, Bob can identify the sender for key lookup and synchronize with Alice in generating the same FJ sequence. Hereafter, we call this secret sequence as “FJ traffic”. This identifier is independent of the link features and is robust to known plaintext attacks. Compared to our initial proposal of FCJ [15], the modulation encryption in this paper preserves the Gray coding structure of the encrypted symbols on the original constellation map. In contrast to conventional (digital domain) encryption, the encryption in FCJ is modulation-aware.

Using parts of the same FJ traffic, encrypted symbols of the payload are then simultaneously coded and mapped (upgraded) to the constellation map of the highest-order (target) modulation scheme supported by the system. We develop a modulation coding that prevents the disclosure of the payload’s original modulation scheme, i.e., it provides indistinguishable modulation unification. In contrast to the uncoded modulation...
unification in the initial design [15] and variable-rate coding for upgrading different modulation schemes to same target unification in the initial design [15] and variable-rate coding. Headers and payload are modulated-encrypted and modulation-unified without changing the information rate and frame duration. Under FCJ, an identifier (ID) is overlaid on the original frame preamble (P), leading to a new preamble (P*).

One important challenge in designing FCJ is how to modify the FJ traffic on a per-frame basis. Not changing the FJ traffic during a session opens the door for a dictionary attack against semi-static header fields. Furthermore, relying on a preshared secret sequence for the FJ traffic makes the design prone to synchronization errors. To ensure consistency in the generation of FJ traffic at Alice and Bob, Alice conveys a frame-specific seed (e.g., frame and sender ID) whose modulated value is superposed onto the known frame preamble. Together with the session key, this seed is fed into an appropriately selected pseudo-random number generator (PRNG) to generate the secret FJ traffic. The seed is also used for sender identification at PHY layer. Superimposing the seed with the preamble, however, may degrade the preamble’s crucial functions (including frame detection). To mitigate that, we exploit the low cross-correlation property of cyclically rotated Barker sequences to construct a seed-bearing signal in 802.11b systems.

The combination of modulation encryption and unification in FCJ complements conventional data encryption and upper-layer traffic obfuscation techniques by covering the entire frame and preventing any traffic classification or jamming attack that is based on the payload’s modulation scheme or header fields. A high-level example of FCJ is given in Fig. 1.

II. BACKGROUND — PREAMBLE STRUCTURE AND PHY-LAYER ATTRIBUTES

(1) Frame detection and FO estimation. Each PHY header is preceded by a preamble, which is used for frame detection, FO and CSI estimation. 802.11b systems exploit a scrambled version of a 128-bit all-one preamble that is spread using an 11-chip Barker sequence (see Table I). For a Barker sequence of length N, its autocorrelation function at lag k, denoted by A(k), is very low at non-zero lags (orthogonality property). This can be exploited for frame detection and timing. Formally,

$$A(k) = \left| \sum_{j=1}^{N-k} b_j b_{j+k} \right| \leq 1, 1 \leq k < N$$

where b = \{b_1, b_2, \ldots, b_N\} is a Barker sequence. The receiver correlates this known sequence with the received sample sequence r = \{r_1, r_2, \ldots\} and computes the square of the cross-correlation value, denoted by R(b, n):

$$R(b, n) = \left| \sum_{j=1}^{N} b_j^* r_{j+n-1} \right|^2.$$  (2)

R(b, n) is expected to peak when the nth sample of r marks the beginning of one of the transmitted Barker sequences. To improve the detection accuracy, b is replaced with a series of identical Barker sequences, one sequence per preamble bit.

The preamble consists of several repetitions of a publicly known pattern. FO estimation involves detecting the arrival of at least two identical portions of the preamble. An FO in the amount of \(\delta_f\) Hz creates a time-varying phase displacement \(\varphi(t) = 2\pi \delta_f t\). To decode a frame, Bob estimates \(\delta_f\) by taking one of the repetitions in the received signal as a reference and comparing it with another repetition that is T seconds away. Specifically, Bob subtracts the phases of any pair of identical samples to find \(\varphi(T)\). Because of noise, usually there will be a residual FO estimation error even after averaging over several of such identical pairs. Depending on the frame duration, the residual FO may move a received symbol to a wrong region on the constellation map, causing a demodulation error. After compensating for FO, Bob compares the known pattern in the preamble with its received value to estimate the CSI.

(2) Detection of lower-layer fields. The preamble, PHY, and MAC headers are all transmitted in the clear, allowing an adversary to intercept them. Typically, the preamble and the PHY header are transmitted at the lowest supported rate while the transmission rate for the frame payload (including MAC header) is adjusted based on channel conditions, resulting in different frame durations (in seconds) for the same payload. Many standards, including 802.11 variants, specify the frame length and payload’s transmission rate in the PHY header. For example, in 802.11b/g, the data rate and the modulation scheme are specified in the ‘Signal’ and ‘Service’ fields, respectively. In 802.11n, the ‘Modulation and Coding Scheme’ field represents both the coding rate and the modulation scheme, similar to the ‘rate’ field in 802.11a. All 802.11

1Scrambling transforms the all-one preamble into a sequence of zero’s and one’s. Methods like [25] are used to detect the zero’s and flip them to one’s.

2An exception is the short header format of 802.11b/g, which uses DQPSK.
variants specify a ‘length’ field, which represents the payload size in octets (for 11a/n) or in milliseconds (for 11b).

The payload’s size and transmission rate may also be determined by detecting the payload’s modulation scheme and combining that with the frame duration to compute the payload size. A modulation scheme is usually associated with two or three data rates of different code rates. For example, in 802.11a, 16-QAM is used for data rates 24 and 36 Mbps. Hence, by determining the modulation scheme, it is rather easy for Eve to correctly guess the data rate.

III. SYSTEM MODEL

We consider a wireless network in which each link consists of single-antenna transmitter (Alice) and receiver (Bob). The link operates in the presence of one or more eavesdroppers (Eve). Alice and Bob first create a shared pairwise transient key (PTK) through the EAPOL 4-way handshake of 802.11i. PTK is used to encrypt the payloads, but as explained later we also use it to generate FJ traffic and frame IDs at the PHY layer. Each node maintains a table of PTKs and possible IDs of all known neighbors in the network. Without loss of generality, we consider a rate-adaptive system that uses the preamble of 802.11b and BPSK, QPSK, 16-QAM, and 64-QAM modulation schemes for the payload. The nodes exploit knowledge of the standard preamble and frame format without introducing a new header field (i.e., wasting the throughput). This way, customizing the design to other systems with a known Zadoff/Barker-based preamble structure and an arbitrary but known set of modulation schemes is straightforward.

Eve knows the frame structure and protocol semantics. She can be a passive eavesdropper or a reactive jammer who selectively jams upon analyzing the early part of a frame. Eve’s attacks may include cross-correlation attacks (e.g., [15]), rate-adaptation attack [5], device-based user-tracking attacks, dictionary attacks, known-plaintext attack [21], key-recovery attack, and any data-rate-based traffic classification attack. We allow Eve to be equipped with multiple antennas. She can also perform (statistical) modulation detection. Alice may employ any traffic classification mitigation technique (e.g., traffic morphing or padding) at upper layers, but does not pad a packet to a fixed size (e.g., ‘Maximum Transmission Unit’). Otherwise, if the PHY layer receives packets of the same size, the frame duration will reveal the actual modulation order.

Fig. 2 shows a schematic view of Alice’s transmit chain and the insertion points of FCI’s components, which include modulation-aware encryption (point 1), modulation unification (point 2) and frame ID embedding (point 3). Once the frame payload, which starts with the MAC header, arrives at Alice’s PHY layer, Alice computes the PHY-header fields, including the modulation scheme for the payload that is calculated based on CSI. The PHY header and the payload are then modulated and together with the spread preamble are passed through the FCI components, before they are concatenated and transmitted over the air. Bob, on the other hand, detects the preamble and extracts the frame ID embedded in it to regenerate the FJ traffic and estimates the CSI. Subsequently, Bob recovers and decrypts the header to extract the payload’s modulation scheme, which is used to recover the rest of the frame.

IV. MODULATION UNIFICATION

In this section, we introduce a method for indistinguishably unifying different modulation schemes using FJ traffic. For now, we assume that the FJ sequence is already available at both Alice and Bob and can decrypt the PHY header and obtain the true modulation scheme. Confidential generation and synchronization of the FJ sequence will be explained in Section V along with the modulation encryption scheme.

A. Uncoded Modulation Unification

To prevent any rate-based SCI classification, the modulation scheme used for different frame payloads should always look the same to Eve. We achieve that by embedding the payload’s original modulation symbols in the constellation map of the highest-order modulation scheme supported by the underlying system (denoted by $M_i$). At the same time, we need to preserve the original demodulation performance at Bob.

To unify various payload modulation schemes, denoted by $M_i$, $i = 1, 2, \ldots, M$, each modulated symbol of Alice’s payload is combined with one modulated FJ traffic, producing one point in the constellation map of $M_i$. As long as the distribution of these points in the target constellation map is uniform, similar to the distribution of the points of a random $M_i$-modulated information signal, and a given symbol is independent of the previous and next symbols (from Eve’s perspective), Eve cannot determine if $M_i \neq M_{M_{\text{f}}}$.

In general, a higher-order modulation scheme is more susceptible to demodulation errors. The minimum Euclidean distance between the symbols in the constellation of $M_i$, denoted by $d_{\text{min},i}$, specifies the probability of a demodulation error (hence, the BER) at a given SNR value. This $d_{\text{min},i}$ generally decreases with $i$. Tables II and III depict $d_{\text{min},i}$ for the 802.11a system after taking into account a modulation-dependent normalization factor $K_{\text{mod}}$ [26], a coefficient that is multiplied by the (I,Q) values to achieve the same average symbol-power across different $M_i$’s. Let the FJ traffic sequence be $j$ and let $F_i(j, M_i)$ be a static mapping, known to both Alice and Bob, that is used to embed the symbols of $M_i$ (where $M_1$ is the lowest-order modulation scheme) into the constellation map of $M_i$. To maintain the same $d_{\text{min},i}$ after upgrading $M_i$ to $M_{M_{\text{f}}}$, any two neighboring points in the constellation of $M_i$ should ideally be mapped to two points in $M_{M_{\text{f}}}$ whose distance is no smaller than their distance in $M_i$. At the same time, all the resulting constellation points of $M_{M_{\text{f}}}$ as observed by Eve must be equally probable. Otherwise,
Eve may discern $\mathcal{M}_t$ by performing statistical analysis. In the following, we explain an uncoded mapping $\mathcal{F}_j$, first proposed in [15], which fulfils both of the above design requirements. Note that modulation unification is not applied when $i = M$.

For a given $\mathcal{M}_t$, our scheme defines $\frac{|\mathcal{M}_i|}{|\mathcal{M}_j|}$ equal-size disjoint sets of constellation points in $\mathcal{M}_j$, where $|\mathcal{M}_i|$ is the number of constellation points in $\mathcal{M}_t$. The constellation points of $\mathcal{M}_i$ can be mapped to any of these sets, but the selection of a set in $\mathcal{F}_j(\mathcal{M}_i)$ depends on $j$ and hence can be different from one symbol to another. For any $\mathcal{M}_t$-modulated symbol $s$, Alice needs $\log_2 \left[ |\mathcal{M}_M| - \log_2 |\mathcal{M}_i| \right]$ bits in $\mathcal{F}_j(\mathcal{M}_i)$ to select one of these sets. Let $j = 0, \ldots, |\mathcal{M}_M|/|\mathcal{M}_i| - 1$, be the decimal representation of those FJ bits, and let $\mathcal{U}_j = \{u_{j0}^{n}, \ldots, u_{j|\mathcal{M}_i|^{-1}}\}$ be the corresponding set (sub constellation) on the constellation map of $\mathcal{M}_j$. The same $j$ always points to the same set $\mathcal{U}_j$ and the value of $s$ determines one of the points inside $\mathcal{U}_j$. This ensures that the transmitted symbols are equally probable, assuming that the bits in $\mathcal{F}_j$ are uniformly distributed (so as Alice’s symbols). As explained in Section V, we rely on a cryptographic hash function like SHA-3 to generate $j$.

So Alice picks the first $\log_2 \left[ \frac{|\mathcal{M}_M|}{|\mathcal{M}_i|} \right]$ bits in $\mathcal{F}_j$ for the first symbol to be transmitted, the next $\log_2 \left[ \frac{|\mathcal{M}_M|}{|\mathcal{M}_i|} \right]$ bits for the second symbol, and so on. Note that the number of FJ bits per symbol varies for different $\mathcal{M}_i$’s and $\mathcal{M}_j$’s. During the decoding process, Bob knows $\mathcal{F}_j$ and $\mathcal{M}_i$. To obtain the original symbol $s$, Bob considers only those constellation points in $\mathcal{M}_j$ that belong to $\mathcal{U}_j$. He then follows a standard demodulation process to determine the most likely symbol in $\mathcal{U}_j$, given the observed symbol.

Next, we discuss a strategy for constructing the sets $\mathcal{U}_j$ by optimally partitioning the constellation of $\mathcal{M}_j$ into $|\mathcal{M}_M|/|\mathcal{M}_i|$ disjoint sub constellations. Let $d_{\text{min}}(\mathcal{F}_j(\mathcal{M}_i))$ be the minimum distance between any two elements in $\mathcal{U}_j$ over all possible $j = 0, \ldots, |\mathcal{M}_M|/|\mathcal{M}_i| - 1$. In here, optimality of partitioning is taken w.r.t. maximizing $d_{\text{min}}(\mathcal{F}_j(\mathcal{M}_i))$. For a modulation scheme $\mathcal{M}_M$ whose symbols are uniformly distributed in a square grid (e.g., 16-QAM) or over a circle, several solutions were obtained in [27]. We verify their optimality by solving the circle packing problem with $|\mathcal{M}_i| = |\mathcal{U}_j|$ identical circles in a square [28]. Ideally, every element of a set $\mathcal{U}_j$ in this case should be surrounded by as many elements of other sets as possible in order to maximize $d_{\text{min}}(\mathcal{F}_j(\mathcal{M}_i))$. This implies that the elements of every optimal set $\mathcal{U}_j$, $j = 0, \ldots, |\mathcal{M}_M|/|\mathcal{M}_i| - 1$, must be uniformly distributed across the grid points. As such, the maximum circle diameter in the corresponding circle packing problem upper-bounds $d_{\text{min}}(\mathcal{F}_j(\mathcal{M}_i))$. We determine the size of the underlying square for each $\mathcal{M}_i$ in the circle-packing problem via aligning the centers of the quadrant of this square at the center of the corresponding quadrant in the constellation map of $\mathcal{M}_M$.

The known optimal circle packing solutions [28] confirm the optimality of the partitions in [27]. In particular, the optimal circles are automatically aligned as a grid when $|\mathcal{M}_i| = 4$, 16 or aligned on a diagonal of a grid when $|\mathcal{M}_i| = 2$; hence, $d_{\text{min}}(\mathcal{F}_j(\mathcal{M}_i))$ archives its upper bound. All $\mathcal{U}_j$’s can be reconstructed via shifting and/or rotating the whole set of optimal circles and aligning them on various grid points.

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Note that modulation unification is not applied when $i = M$.

In order to partition a quadrant $\mathcal{M}_j$ into several solutions were obtained in [27]. We verify their optimality by solving the circle packing problem with $|\mathcal{M}_i| = |\mathcal{U}_j|$ identical circles in a square [28]. Ideally, every element of a set $\mathcal{U}_j$ in this case should be surrounded by as many elements of other sets as possible in order to maximize $d_{\text{min}}(\mathcal{F}_j(\mathcal{M}_i))$. This implies that the elements of every optimal set $\mathcal{U}_j$, $j = 0, \ldots, |\mathcal{M}_M|/|\mathcal{M}_i| - 1$, must be uniformly distributed across the grid points. As such, the maximum circle diameter in the corresponding circle packing problem upper-bounds $d_{\text{min}}(\mathcal{F}_j(\mathcal{M}_i))$. We determine the size of the underlying square for each $\mathcal{M}_i$ in the circle-packing problem via aligning the centers of the quadrant of this square at the center of the corresponding quadrant in the constellation map of $\mathcal{M}_M$.

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TABLE II
PARAMETERS OF THE OPTIMAL MAPPING FROM BPSK AND QPSK TO 16-QAM.

<table>
<thead>
<tr>
<th>( \mathcal{M}_i )</th>
<th>( K_{\text{mod}} )</th>
<th>( d_{\text{min},i} )</th>
<th>( d_{\text{min}} \left( F_{j}(\mathcal{M}_i) \right) )</th>
<th>( \gamma_0(3) )</th>
<th>( \gamma(2)^{(3)} )</th>
<th>( \gamma_1(4)^{(3)} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>BPSK</td>
<td>1</td>
<td>2</td>
<td>4/\sqrt{2}</td>
<td>4/5 \simeq -0.969 \text{ dB}</td>
<td>0.9 \simeq -0.46 \text{ dB}</td>
<td>6.8/4 \simeq 2.4 \text{ dB}</td>
</tr>
<tr>
<td>QPSK</td>
<td>1/\sqrt{2}</td>
<td>2/\sqrt{2}</td>
<td>4/\sqrt{10}</td>
<td>4/5 \simeq -0.969 \text{ dB}</td>
<td>1 = 0 \text{ dB}</td>
<td>1.6 \simeq 2.04 \text{ dB}</td>
</tr>
</tbody>
</table>

TABLE III
PARAMETERS OF THE OPTIMAL MAPPING FROM 802.11A MODULATION SCHEMES TO 64-QAM.

<table>
<thead>
<tr>
<th>( \mathcal{M}_i )</th>
<th>( K_{\text{mod}} )</th>
<th>( d_{\text{min},i} )</th>
<th>( d_{\text{min}} \left( F_{j}(\mathcal{M}_i) \right) )</th>
<th>( \gamma_0(3) )</th>
<th>( \gamma(2)^{(3)} )</th>
<th>( \gamma_1(4)^{(3)} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>BPSK</td>
<td>1</td>
<td>2</td>
<td>8/\sqrt{2}</td>
<td>16/21 \simeq -1.181 \text{ dB}</td>
<td>66/84 \simeq -1.05 \text{ dB}</td>
<td>130/84 \simeq 1.9 \text{ dB}</td>
</tr>
<tr>
<td>QPSK</td>
<td>1/\sqrt{2}</td>
<td>2/\sqrt{2}</td>
<td>8/\sqrt{12}</td>
<td>16/21 \simeq -1.181 \text{ dB}</td>
<td>68/84 \simeq -0.92 \text{ dB}</td>
<td>128/84 \simeq 1.83 \text{ dB}</td>
</tr>
<tr>
<td>16-QAM</td>
<td>1/\sqrt{10}</td>
<td>2/\sqrt{10}</td>
<td>4/\sqrt{20}</td>
<td>2/21 \simeq -0.21 \text{ dB}</td>
<td>5/4,2 \simeq 0.76 \text{ dB}</td>
<td>4/21 \simeq 2.8 \text{ dB}</td>
</tr>
<tr>
<td>64-QAM</td>
<td>1/\sqrt{2}</td>
<td>2/\sqrt{2}</td>
<td>2/\sqrt{14}</td>
<td>1 = 0 \text{ dB}</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

However, unequal amplitudes of the symbols result in different displacement lengths for the same phase offset \( \varphi \). The dashed arcs represent the minimum amount of displacement that can cause a symbol error for a given symbol. The symbol with the smallest amplitude never leaves its expected region, while the symbol with the highest amplitude may easily leave its region when the FO estimation is not accurate.

In Fig. 6, we numerically compare the average BER of different \( \mathcal{M}_i \)’s embedded in \( \mathcal{M}_M = 16\)-QAM and 64-QAM to the BER of original \( \mathcal{M}_i \)’s for different \( \varphi \) values. When \( \mathcal{M}_i = \text{BPSK or QPSK} \) (Fig. 6(a) and Fig. 6(b)), applying modulation unification makes the demodulation more vulnerable to small \( \varphi \) values. For example, as long as \( \varphi < \pi/4 \), QPSK-modulated symbols will not experience any bit error. However, when these symbols are mapped to 16-QAM or 64-QAM symbols, the error-free phase offset range shrinks to \( \varphi < \pi/6 \). That means more symbols in a frame will be demodulated in error. On the other hand, depending on the phase offset value, modulation unification can make the demodulation more robust to residual errors (e.g., when \( \pi/4 \leq \varphi < \pi/2 \)).

When \( \mathcal{M}_i = 16\)-QAM, modulation unification has little impact on the average BER, as can be seen in Fig. 6(c). In this figure we also plot the BER for 64-QAM. Comparing this plot to the ones of BPSK and QPSK, we observe high susceptibility of any unification scheme that directly maps BPSK and QPSK to 16-QAM and 64-QAM (e.g., CBM [16]).

C. Untraceable Trellis-Coded Modulation Unification

Assuming \( \delta_f = 0 \), FCJ can maintain the same demodulation performance of \( \mathcal{M}_i \) by coding the \( \mathcal{M}_M \)-modulated symbols. Coding creates dependency among successive symbols, which can be exploited at Bob to more accurately guess the symbol sequence. To identify and track the most probable paths (i.e., arcs) at Bob, a trellis diagram together with Viterbi algorithm are often employed. Trellis-coded modulation [27] is a generic coding technique that instead of generating a sequence of correlated bits, directly generates a sequence of correlated symbols that belong to a higher-order modulation scheme to represent uncorrelated \( \mathcal{M}_i \)-modulated symbols and improve reliability. A set of “states” is defined as the encoder memory to impose the dependency. State transitions and the associated transmitted symbols are then controlled by information bits. Fig. 7 shows an example of a 2-state TCM encoder and its corresponding trellis diagram that encodes \( \mathcal{M}_i = \text{BPSK} \)
and memory overheads are incurred for tracking and storing Viterbi algorithm to identify the true symbols, small delay of having a low constraint length is that when Bob employs 2-state Markovian source with generator matrix $G(D) = (1 + D)$.

Symbols using the symbols of a 4-symbol modulation scheme (e.g., QPSK). Introducing such a dependency in FCJ to encode a $\mathcal{M}_i$-modulated symbol $s$ is possible because $|\mathcal{M}_M| > |\mathcal{M}_i|$. For the time being, however, let the higher-order modulation scheme consists of only $U_0 + U_1$, irrespective of the FJ bits $j$. The asymptotic coding gain of TCM is defined as

$$\gamma_i^{(q)}(M) = \frac{d_{free}^q}{d_{min,i}}$$

(4)

where $d_{free}$ (free distance) is the minimum total Euclidean distance between the symbols along any two distinct paths in the trellis diagram and $q$ is the number of states. To get benefit of TCM and prevent a performance loss due to modulation unification, we need to satisfy $d_{free} \geq d_{min,i}$. While, in general, complex TCM codes of rate $\log_2 |\mathcal{M}_i|/\log_2 |\mathcal{M}_M|$ can be designed to significantly improve the gain [16], in here we exploit two simple yet efficient codes of rate $\log_2 |\mathcal{M}_i|/(\log_2 |\mathcal{M}_M| + 1)$ which facilitate our indistinguishable modulation unification. They embed $|\mathcal{M}_i|$ symbols into $2|\mathcal{M}_i|$ symbols of $\mathcal{M}_M$. These codes are based on either two-state (Fig. 7) or four-state (Fig. 8) TCMs presented in [27] with constraint lengths of 1 and 2, respectively. One advantage of having a low constraint length is that when Bob employs Viterbi algorithm to identify the true symbols, small delay and memory overheads are incurred for tracking and storing the most probable paths and retrieving the original symbols. (When $\mathcal{M}_i \neq $ BPSK, the same structures are used but multiple parallel edges need to be defined for each state transition.)

Using Ungerboeck's assignment rules to assign the symbols to the edges/transitions (as shown in Fig. 7 and 8), we maximize $d_{free}$ and hence the gain for each TCM scheme, without incurring significant decoding complexity. (Note that, for example, the least-complex code in [16] for mapping from BPSK to 64-QAM has the constraint length of 5.) The $2|\mathcal{M}_i|$ symbols consist of the symbols of any two sets $U_{j_1}$ and $U_{j_2}$, $j_1, j_2 \in 0, 1, \ldots, |\mathcal{M}_M|/|\mathcal{M}_i| - 1$. (In Fig. 7 and 8, $U_0$ and $U_1$ are used.) The coding gains of the proposed TCM schemes and sets $U_i$ are shown in Tables II and III. The two-state TCM maintains the performance of the system only in some of the cases (e.g., $\gamma_2^{(2)}(3) = 0$ dB), but the four-state TCM provides gain over $\mathcal{M}_i$-modulated transmissions in all the cases.

The TCM codes in FCJ take advantage of only $2|\mathcal{M}_i|$ symbols out of $|\mathcal{M}_M|$, in contrast to the codes in [16], which use all $|\mathcal{M}_M|$ symbols to achieve higher gains. Such selection exhibits lower constellation density than $\mathcal{M}_M$, and so is less susceptible to inaccurate FO estimation than CBM [16]. In addition, by not using all $|\mathcal{M}_M|$ symbols, Alice and Bob also have the freedom of changing the edge labels from one state transition to another, a feature that is exploited in FCJ to facilitate indistinguishable modulation unification.

The known code rate and/or the dependency among coded symbols in these TCM codes may leak $\mathcal{M}_i$. Because they do
not utilize all possible $\mathcal{M}_M$-modulated symbols, the number of distinct generated symbols may disclose $|\mathcal{M}_i|$; hence the original modulation scheme. Static assignment of symbols to the edges and so the dependency among the pairs of symbols along the trellis diagram also can reveal $|\mathcal{M}_i|$. Eve can employ different techniques to discern $\mathcal{M}_i$ using observed sequence of $\mathcal{M}_M$-modulated symbols. For example, by applying hidden Markov model techniques, she can first obtain the number and the sequence of states, and then, project the observed symbols on the trellis structure to find out $\mathcal{M}_i$.

To prevent the leakage of $\mathcal{M}_i$, we propose exploiting the FJ bits $j$ to dynamically change the sets $\mathcal{U}_0$ and $\mathcal{U}_1$ to $\mathcal{U}_j$ and $\mathcal{U}_{j+1} \mod |\mathcal{M}_M|/|\mathcal{M}_i|$, respectively, at each state transition. From a security perspective, randomly replacing $\mathcal{U}_i$’s allows us to generate all $|\mathcal{M}_M|$ symbols with equal probability. Because Bob knows $j$, he can limit the set of possible symbols to the ones mandated by the FJ bits, and keep track of transitions and compute the distances/errors as before. Additionally, since the sets $\mathcal{U}_i$ have identical structure (they are shifted versions of each other) the coding gain, obtained above, remains valid. From Eve’s perspective, however, any two successive symbols are completely independent of each other, i.e., the dependency among symbols is concealed, because the transmitted $\mathcal{M}_M$-modulated symbol in each transition is selected completely randomly based on the random payload and pseudo-random FJ bits. Hence, the TCM codes become untraceable and $\mathcal{M}_i$ is not disclosed to Eve, as long as the payload is random.

The untraceable unification scheme proposed above also makes it hard for Eve to correctly guess the unencrypted parts of the MAC (and PHY, if unified) headers. For example, when $\mathcal{M}_i = \text{BPSK}$ and four-state TCM is employed (see Fig. 8(b)), any of the $\mathcal{M}_M$ symbols can be used to modulate an input bit, depending on the current state and $j$. As long as the current TCM state is unknown to Eve, she cannot discern this input bit. However, because the static mapping $F_j(\cdot)$ and the labeling used in the underlying TCM structure are not necessarily secret, a transmission is still vulnerable to the following attacks.

First, if the initial state is not secret and if $\mathcal{M}_i$ is known (e.g., the modulation scheme of the PHY header), Eve will be able to track the time-evolution of the states and eventually, discern the true input bits. To illustrate, the mapping $F_j(\cdot)$ partitions the $|\mathcal{M}_M|$ symbols into $|\mathcal{M}_M|/|\mathcal{M}_i|$ disjoint sets, one for each original symbol $s$ and different FJ bits $j$. Note that TCM does not impact $F_j(\cdot)$. From the inverse function $F_j^{-1}$ and the current state, Eve can determine the symbols $s$ from their observed $\mathcal{M}_M$-modulated counterparts, revealing the true content of that field. This is especially the case if Eve exhibits a high SNR and can reliably detect the $\mathcal{M}_M$-modulated symbols. If only $\mathcal{M}_i$ is known, a similar attack can occur on a semi-static field. In this case, Eve can try different initial states in the beginning of the field and compare the bit sequence associated with each state with the few possible field values to identify the true one. In these two attack scenarios, Eve is able to extract unencrypted fields in the $\mathcal{M}_M$-modulated PHY and (once the rate field is disclosed) MAC headers.

Another attack involves an unknown $\mathcal{M}_i$ but some parts of the payload, e.g., MAC header, can take one of a few possible values (or even if these semi-static parts are encrypted but with a time-invariant cipher). Eve may again apply $F_j^{-1}$ of different $\mathcal{M}_i$’s on the $\mathcal{M}_M$-modulated symbols and check which one produces one of the known values. This reveals not only the content (if unencrypted), but also the payload’s $\mathcal{M}_i$.

To remedy the above vulnerabilities, we need to also encrypt PHY/MAC headers using a time-varying cipher, e.g., a one-time pad. Such encryption, however, is not trivial. It creates challenges and prevents some of the essential functions of the header. For example, the decryption operation at Bob requires knowledge of the shared key dedicated to the Alice-Bob session. This key is different for different sessions (e.g., Charlie-Bob and Alice-Bob sessions). To retrieve the right key, Bob needs to identify the sender of the incoming frame, which is typically done using the MAC address. But with the PHY header being encrypted, Bob cannot identify Alice at the PHY layer using the (encrypted) MAC address. Moreover, generating one-time pads requires a good PRNG that is robust to plaintext (e.g., semi-static fields) attacks and also time-varying seeds that are common between Alice and Bob. In the following section, we propose a novel approach for providing time-varying PHY-layer identification via embedding an ID in the preamble (i.e., before the to-be-encrypted headers). This approach also provides synchrony between Alice and Bob for using the same seed. Note that a sender identifier based on the Alice-Bob channel characteristics fails when nodes are mobile. It can also be spoofed if the channel is estimated by Eve [22].

V. PREAMBLE-BASED PHY-LAYER IDENTIFIER

Alice and Bob need to establish an identification method that is channel-independent (robust to mobility) and can be used as a means to synchronously generate the FJ traffic at PHY-layer. Such a PHY-layer identifier should also vary from one frame to another; otherwise, users and semi-static header fields become vulnerable to fingerprinting and dictionary attacks, respectively. A field is semi-static when its set of valid values is a small subset of all possible values (e.g., the 8-bit Signal field in 802.11b takes one of four possible values). When encrypted using the same $j$, those values are mapped to a fixed set of encrypted values. After eavesdropping on several frames that may have different values for that field, Eve may extract the part of $j$ used to encrypt that field, launching a dictionary attack. If Alice and Bob instead try to synchronously use different parts of a pre-shared $j$ for different frames, the loss of an ACK would make Alice and Bob out-of-sync. Furthermore, in the case of a packet retransmission, applying the same $j$ results in the same sequence of $\mathcal{M}_M$-modulated symbols. Eve may detect retransmissions via correlating successive frames and then exclude them from the statistics used to fingerprint the session (e.g., packet size histogram). As such, we require $j$ and the PHY-layer sender identifier to vary on a per-frame basis. Next, we explain how we generate and convey such identifiers.

To generate $j$, we exploit a PRNG that is constructed based on a cryptographic hash function from the standardized family of SHA-3 algorithms (e.g., [30], [31]). The choice of input seed is very crucial for generating $j$. If it contains nothing
but the secret PTK, the keystream $j$ will always remain the same. To vary $j$ from one frame to another, we concatenate a non-secret frame-specific ID, denoted by $ID$, to the PTK and compose a partially secret seed for the given frame (similar to the method in [31], [32]). FCJ embeds $ID$ in the frame preamble and transmits it in the clear. $ID$ is also used to simultaneously identify and authenticate the sender/session, allowing Bob to distinguish Alice’s transmission from others.

With frame-specific and time-rolling $ID$s during a session, Eve will not be able to identify and track the user or correlate different frames that belong to the same session. However, Bob must be able to associate different $ID$s to the same sender (e.g., Alice). We adopt an $ID$ generation method similar to [33] and create a chain of confidential $ID$s at both Alice and Bob using SHAKE256 instance of SHA-3 hash algorithm and PTK. We suggest this instance of SHA-3 because its output size can be adjusted with the size of $ID$. For the first frame, they agree on an initial $ID$ (e.g., during the 4-way handshake). The $ID$ for subsequent frames (including retransmissions) will be the hash of the previous $ID$ using PTK. To account for possible frame losses and retransmissions, Bob maintains a short chain of subsequent $ID$s for each active neighbor and checks whether or not the received $ID$ exists in the chain. It is followed by the properties of SHA-3 that the chance of collision between the $ID$s of different senders will be low. Moreover, by observing one $ID$, Eve cannot predict the next.

SHA-3 algorithms enjoy several attractive properties. First, a single-bit change in the input seed results in a completely different hash value (equivalently, $j$ generated by the PRNG). Therefore, as long as $ID$ is not repeated, $j$ will not be repeated (similar to an ideal one-time pad), thus preventing dictionary attacks. The randomness of $ID$ in our method will be discussed in Section V-B. Second, if Eve captures the hash value, she cannot use it to recover the key or the seed value used to generate $j$, i.e., it is one-way hash and robust against chosen-plaintext attacks [31], which are stronger than known-plaintext attacks. Third, if Eve captures some part of $j$ (or the frame $ID$), she cannot predict subsequent values of $j$ or $ID$ (i.e., robustness to generic state recovery attacks) [30], [31]. Fourth, similar to Keccak-f [200] [30], such a PRNG can be built in a compact core and can be implemented using bitwise Boolean operations and rotations within 200-byte memory. This makes it very resource-efficient and suitable for embedded devices with low overhead/delay requirements. Fifth, the security of $j$ generated by such PRNG can be compared to the security of an ideal random number sequence that does not have any generic flaw, i.e., indistinguishability property [30]. Altogether, the sequence $j$ that is used for encrypting headers and for unifying the modulation schemes provides confidentiality for the headers and unpredictability for the modulation unification.

### A. Embedding the $ID$

To embed the non-secret $ID$, one may introduce a new field between the preamble and the standard PHY header. However, to keep the standard PHY frame format intact for interoperability purposes and also to avoid increasing the frame size, we embed encoded $ID$ onto the known preamble via analog-signal superposition. (Note that we cannot use any reserved bits in the header(s) because those fields, if allowed to be modified, do not provide high randomness.) The design below is specific to the 802.11b preamble, but the idea can be extended to other preamble structures.

Extracting $ID$ from the superposition is critical for Bob. At the same time, Bob does not want to lose the important functions of the preamble as a result of this superposition. To satisfy both requirements, we propose using cyclically rotated Barker sequences (Section II) to encode Alice’s $ID$. When a Barker sequence is aligned with the original preamble, the function $R(b, n)$ (defined in (2)) spikes, indicating the start of a frame. To preserve this spike, we utilize cyclically shifted versions of the reference 11-chip Barker sequence $b$. Every $k$-shifted sequence, $k = 1, \ldots, 10$, can create a different $ID$. Because of the orthogonality of Barker sequences, this overlaid $ID$ is easily detectable with RF correlation. Moreover, unless the power of the superposition is normalized, the frame detection process will be negligibly affected because the encoded message will have little contribution to the correlation with the reference sequence, when aligned properly. To maintain the original preamble power, Alice can multiply the preamble by the normalization coefficient of $\sqrt{11/20}$ (i.e., 2.6 dB reduction in the power of original preamble). The peak-to-average-power ratio (PAPR) of the preamble is also increased by 3.42 dB.

Fig. 9(a) is an example drawn from our experiments (Section VI) that shows the value of $R(., n)$ when applied over a frame with two embedded rotated Barker sequences, repeated in each half of the preamble. The preamble in this example consists of four Barker sequences, which create a few side spikes when the correlator is moved a multiples of 11 indices away from the beginning of the preamble. Fig. 9(b) zooms into the preamble and shows the two messages spikes (i.e., spikes corresponding to the cyclically rotated Barker sequences) between every two successive preamble (side) spikes.
Alice represents the specific \( T D \) of a frame via concatenating several \( k \)-shifted versions of the Barker sequence, which is superimposed on the original preamble in the analog domain. Specifically, let \((k_1k_2\ldots k_L)_{10}\) be the decimal representation of the value of \( T D \), where \( k_i \), \( i = 1,\ldots,L \), is the \( i \)-th most-significant digit. Then, the value of \( k_i \) is conveyed in a cyclically shifted Barker sequence with \( k_i + 1 \) shift. Concatenation of the \( L \) shifted Barker sequences produces \( T D \) (see the example in Table IV). Bob is still able to detect the preamble and the \( T D \), as shown in Fig. 9. The steps taken by Bob to extract \( T D \) and perform the preamble functions are summarized as follows:

1) Detect frame, estimate FO, and compensate for it.
2) Extract frame \( T D \).
3) Reconstruct the modified preamble using the original preamble and the embedded \( T D \) for CSI estimation.
4) Identify the sender using \( T D \) to look up the PTK associated with this sender and start generating \( j \).

### B. Implication on PHY-layer Functions and Practical Issues

Embedding \( T D \) in the preamble may affect some of the preamble’s common functions. We discuss how our message embedding mechanism can maintain these functions.

#### (1) Frame detection

A typical receiver performs sliding-window correlations using different time offsets (parameter \( n \) in (2)). In the case of FCI, the ratio between the height of the side spikes and the main spike remains the same, but the superposed \( T D \) will cause a few message spikes when Bob correlates the reference preamble with the received signal at time offsets other than the start of the preamble. To avoid creating an alias of the true preamble start, Alice minimizes the repetitions of the same rotation value over preamble bits by dividing these bits into groups of \( l < 11 \) (\( l \neq 6 \)) successive bits. In each group, a given rotation value may not appear more than once. Excluding the noise and multipath channel effect, the message spikes cannot be larger than \( \frac{(d-3)^2}{10^{12}} \) of the highest spike, because in a sequence of \( l \) distinct rotations, at most one of them will perfectly align with the correlating sequence, i.e., the original preamble. Note that the correlation value of two Barker sequences with the same (different) rotation value(s) is \(|11|^2 (|1|^2)\).

#### (2) FO estimation

As explained in Section II, FO estimation requires two identical repetitions of an arbitrary sequence. We satisfy this requirement by repeating the \( T D \)-bearing signal at least twice. Specifically, if Bob uses \( K \leq 128 \) repetitions of the Barker sequence (preamble bits) for FO estimation, Alice places the \( T D \)-bearing signal in the first \( K/2 \) sequences and then repeats it over the other \( K/2 \) sequences. If Alice does not know \( K \) a priori, she only exploits the portion of the preamble that will likely be detected by Bob. Bob can then find the start of the \( T D \) signal either by an energy-based detection, or by iteratively running (on each preamble bit) a series of threshold-based correlations with nonzero rotations of \( b \) and finding the first bit on which the correlation value exceeds the threshold.

#### (3) Message capacity and error correction

There are 10 distinct rotations of an 11-chip Barker sequence (one preamble bit). In DBPSK, this translates to 10 different \( T D \)s per preamble bit. So by setting \( l = 9 \), in every group of \( l \) successive preamble bits, 10! different \( T D \)s of the decimal form \((k_1k_2\ldots k_9)_{10}\) can be embedded. So the preamble can carry up to \((10!)^{16/9}\) distinct \( T D \)s, which is sufficient to build a PRNG that passes the statistical tests proposed by NIST and has a resistance of about \( 2^{136} \) against state-recovery attacks [30]. Using DQPSK, we can further double the number of possible \( T D \)s. Given this large number, FCI can ensure the randomness required by the PRNG even when Alice employs a coding scheme over the set of \( T D \)s to reduce the \( T D \) detection errors (e.g., using \( T D \)s with large Hamming distances).

#### (4) Channel estimation

A known sequence, such as the preamble, is often used for channel estimation. Upon capturing \( T D \), Bob constructs a new “temporary” preamble by superposing the same \( T D \)-bearing signal over the original preamble, and uses the new preamble for channel estimation.

### C. Encryption of Header Fields

We apply a modulation-level stream encryption \( E_j(M_i) \) to the \( M_i \)-modulated symbols of the frame (payload + header)\(^4\) to randomize the location of the original symbols in the constellation map of \( M_i \) (or equivalently, dynamically change the mapping between an input symbol and one of the outgoing edges in the underlying trellis, i.e., combine \( E_j(\cdot) \) with \( F_j(\cdot) \)). This way, sole knowledge of \( F_j(\cdot) \) is not sufficient to disclose the symbol \( s \) that corresponds to an observed \( M_M \)-modulated symbol. Note that if we alternatively upgrade the modulation scheme first and then apply encryption, Bob may not reliably decode an \( M_M \)-modulated symbol.

The encryption function \( E_j(M_i) \) is performed by bit-wise XORing of the information and FJ bits. Consider \( \log_2 |M_i| \) information bits, corresponding to one symbol of the modulation scheme \( M_i \). We select \( \log_2 |M_i| \) successive bits from \( j \) and XOR them with the information bits. Alternatively, in the symbols domain a lookup table can be used to map the decimal value of the FJ bits, denoted by \( y \), and the index of information symbols on the constellation map to the symbol index corresponding to the XOR of the underlying information and FJ bits. Equivalently, the encryption can be merged with TCM by changing edge labels \( u_j^x \) with \( u_j^x \oplus y \mod |M_i| \) per each transition. According to Gray coding, adjacent points in the constellation map of \( M_i \) have a 1-bit difference.

One advantage of using an XOR operation is that adjacent constellation points before the symbols relocation by \( E_j(M_i) \) remain adjacent after the relocation because they are bit-wise XORed with the same FJ bits and so the Gray coding property is preserved (in contrast to the encryption scheme in [15]).

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\(^4\)We do not encrypt the preamble, since otherwise Bob cannot detect the start of the frame without knowing in advance the sender’s identity.
Therefore, the BER performance is not impacted by modulation encryption. As long as the FI traffic is robust against various attacks (e.g., dictionary attack), which is achieved by using a SHA-3-based PRNG, the encryption \( \tilde{E}(M_i) \) is secure.

Altogether, Alice applies the composite mapping \( f_j(\{E_j(M_i)\}) \) to the payload symbols. For each \( M_i \)-modulated symbol, Alice (Bob) sequentially picks a block of \( \log_2 |M_i| + \log_2 T_{M_i} \) bits from \( j \) to first encrypt (recover) the symbol and then upgrade (decrypt) it.

If the PHY header symbols are upgraded, Bob treats the modulation-encrypted header and payload the same way, except that the true modulation order for the PHY header is known a priori. Payload’s \( M_i \) is determined after the PHY header has been decoded and the rate field recovered. Eve, on the other hand, cannot correctly decode the PHY header because it is modulation-encrypted by the secret \( j \). As long as the rate field in the header is unknown, Eve cannot determine \( M_i \) of the payload and the number of information bits that are associated with an observed symbol.

VI. PERFORMANCE EVALUATION

We implement Friendly CryptoJam in NI LabVIEW programming environment. Our LabVIEW PHY-layer libraries include the transmitter components in Fig. 2, as well as frame timing and detection, CSI and FO estimation modules at the receiver. Using the same LabVIEW code, we emulate wireless transmissions with all the transmitter/receiver components in an AWGN channel and then empirically evaluate FCJ on an NI-2922 USRP testbed controlled by LabVIEW USRP driver.

(a) Metrics. We evaluate the BER performance and preamble-related operations, such as frame detection and FO estimation, for different SNR values and modulation schemes. The \( TD \) detection success rate is also a metric of high interest.

(b) FI traffic. To generate \( j \) and evaluate the communication metrics (e.g., BER), or to generate \( TD \) and evaluate the detection rate, we do not implement SHA-3, which is beyond the scope of this paper. Instead and without loss of generality, we exploit the LRSR-based PRNG available in LabVIEW with Galois implementation and polynomial degree of 12 (or 14). IEEE 802.11a systems use the same type of PRNG. For each frame, we generate a random sequence (or an \( TD \), depending on the metric of interest) and share it between Alice and Bob. With respect to the security of our scheme against plaintext and key-recovery attacks, we rely on the theoretical and reported properties of SHA-3 (see Section V).

(c) Modulation. We use four basic modulation schemes, BPSK, QPSK, 16-QAM, and 64-QAM. The modulation mappings follow set-partitioning rule (e.g., Fig. 3 and Fig. 4) and Fig. 7 and Fig. 8 for TCM-based modulation unification. The parameters of such upgrades are shown in Tables II and III.

(d) Physical frame. Unless specified otherwise, each frame consists of a 66-bit Barker code DBPSK-modulated preamble (six 11-chip Barker sequences) with a random three-digit embedded \( TD = (k_1 k_2 k_3)_{10} \) followed by a random payload. The frame is transmitted over a 2.4 GHz frequency band at a symbol rate of 1 Msamples/s in the emulations and 83.3 Ksamples/s in the USRP experiments.

A. Indistinguishability Tests

It may be argued that the dependency (correlation) that is introduced by TCM among successive \( M_M \)-modulated symbols could be used by Eve to distinguish between \( M_i \)-modulated symbols embedded in \( M_M \), \( M_i \neq M_M \), from true \( M_M \)-modulated symbols. To verify the indistinguishability of the modulation schemes unified by the proposed untraceable TCM, we employ Kolmogorov-Smirnov (KS) statistical test to compare sequences of \( M_i \)-modulated symbols embedded in \( M_M \) constellation to the sequences of true \( M_M \)-modulated symbols. In particular, we consider the empirical probability distributions (pdfs) of transmitted symbols as well as pairs of successive symbols. The latter one is important because if Eve detects any dependency between two successive symbols (provided that the payload bits are random), she may conclude that \( M_i \neq M_M \) and may also be able to discern \( M_i \).

Without loss of generality, we consider \( M_M = 16 \)-QAM: hence, 256 pairs of symbols. In Fig. 10, we plot the empirical probability distributions of successive-symbols pairs in a pool of \( 2 \times 10^6 \) transmitted symbols when all bits in information and FI sequences are randomly selected from a uniform distribution. At a confidence level of 97.5%, the KS test approves that the three empirical pdfs are drawn from the same (uniform) probability distribution function and so are indistinguishable. Because Alice uses only \( M_M \) for transmission, Eve will likely assume an incorrect \( M_i \) (e.g., \( M_M \) when \( M_i \neq M_M \)) for the payload to demodulate the symbols, resulting in maximum BER and also incorrect estimate of the payload size.

B. Computer Emulations

To assess the performance of individual components of FCJ, we decouple the unification/encryption schemes from the message embedding approach. AWGN channel model is considered to emulate frame transmission and reception. In the emulations, \( \delta_f \) is a controllable parameter, whereas in the experiments, it is a feature of the USRP radio oscillator.
identifiers, the detection success rate will be 0 error rate of 0.

Assuming that the ID is uncoded, Bob needs to successfully decode the sender’s -bit MAC address to decrypt an encrypted payload, in FCJ Bob needs error-free extraction of the preamble in order to focus only on the impact of modulation encryption/unification, in this subsection, we assume $\delta_f = 0$ but Bob still have to correctly detect the frame and estimate the CSI.

Fig. 13 and Fig. 14 depict the BER performance of FCJ as a function of the SNR at Bob for different modulation schemes $\mathcal{M}_i$ when $\mathcal{M}_M = 16$-QAM and 64-QAM, respectively. When BPSK is embedded into 16-QAM (Fig. 13(a)), the two-state TCM scheme can alleviate to some extent the performance loss due to the (uncoded) unification. However, using the four-state TCM scheme, Bob approaches the positive asymptotic coding gain without leaking $\mathcal{M}_i$. Note that if the underlying bit sequence belongs to the PHY-layer header with a known $\mathcal{M}_i$ (e.g., BPSK), Eve may be able to obtain the original encrypted symbols but she still is not able to decrypt them. When $\mathcal{M}_i = \text{QPSK}$, the two-state TCM scheme can be sufficient for maintaining the performance of the default operation with the minimum delay and complexity, as shown in Fig. 13(b). This figure also verifies the asymptotic gains calculated in Table II.

The constellation of 64-QAM is denser than the one of 16-QAM. Therefore, when $\mathcal{M}_M = 64$-QAM, the coding gain in general will be less than the case of $\mathcal{M}_M = 16$-QAM, as can be seen in Fig. 14. For example, using the two-state TCM for $\mathcal{M}_i = \text{QPSK}$ is no longer sufficient in this case (Fig. 14(b)). However, the two-state TCM is good enough when $\mathcal{M}_i = 16$-QAM (Fig. 14(c)). As a general rule, the higher the order of $\mathcal{M}_i$ is, the less complex TCM codes can be sufficient.

C. USRP Experiments

We now exploit our USRPs, one acting as Alice and another as Bob, to evaluate real transmissions in an indoor environment. Alice and Bob each are equipped with a 3 dB antenna and the distance between them is 2.2 m. The noise level at Bob is about $-84$ dBm. We consider a payload that consists of 3200 symbols. This selection is to mimic a situation in which Alice hides the true size of different frames by transmitting the frames with same duration. Hence, when $\mathcal{M}_i = \text{BPSK}$, QPSK, 16-QAM, and 64-QAM, Alice transmits 400, 800, 1600, and 2400 bytes, respectively. Using the same number of symbols also makes the amount of phase offset errors comparable for different $\mathcal{M}_i$’s. To study the bit errors due to only channel impairments and the noise level, we also performed a set of experiments in which an Ettus OctoClock clock distribution module is used to externally synchronize the USRPs, significantly reducing the FO.

To perform the experiments, we encountered a few challenges. First, the USRPs truncate peaks of a high-PAPR signal when the desired average signal power necessities transmitting the peak at a power higher than the one set by the user. In 16-QAM and 64-QAM, certain symbols (e.g., corners of the constellation map, which result in high PAPR) are often truncated; resulting in several bit errors. Compared to QPSK/BPSK, 64-QAM has 3.7 dB higher PAPR. To remedy this issue, we

Bob reconstructs the new preamble for CSI estimation, which essentially includes estimating the constant channel phasor.

2) TCM-based Modulation Unification: Now we study the performance of the employed TCM schemes compared to the uncoded unification scheme [15] and the default operation of 802.11 without FCJ (referred to as DF), as our benchmark. In order to focus only on the impact of modulation encryption/unification, in this subsection, we assume $\delta_f = 0$ but Bob still have to correctly detect the frame and estimate the CSI.

Fig. 11 shows the power reduction for the original preamble in our embedding scheme results in about 2 dB loss in frame detection; irrespective of $\delta_f$. Although three distinctly shifted Barker sequences (repeated twice) generate a few message spikes and also Bob is still agnostic to the embedded ID superposition in FCJ results in variable noise cannot dominate the FO.

While in current 802.11 systems Bob needs to successfully decode the sender’s 32-bit MAC address to decrypt an encrypted payload, in FCJ Bob needs error-free extraction of the preamble is expected to be the same. Fig. 11 shows that the power reduction for the original preamble in our embedding scheme results in about 2 dB loss in frame detection; irrespective of $\delta_f$. Although three distinctly shifted Barker sequences (repeated twice) generate a few message spikes and also Bob is still agnostic to the embedded ID, the highest of these spikes in the absence of noise and payload will not exceed 4% of the spike corresponding to the preamble start (see Section V-B).

Bob then moves on to the next phase; FO estimation. Even though the ID superposition in FCJ results in variable amplitudes for different preamble bits (in fact, some of the bits will have zero amplitude), the results (not shown here) show that the symmetry between two parts of the ID-bearing signal helps Bob in maintaining the same FO estimation performance without FCJ. The reason is that for estimating $\varphi(T)$, the amplitude of the identical pairs is usually taken into account. Therefore, the noise cannot dominate the FO estimation process in FCJ more than default scheme.

While in current 802.11 systems Bob needs to successfully decode the sender’s 32-bit MAC address to decrypt an encrypted payload, in FCJ Bob needs error-free extraction of the ID to identify Alice and generate $j$. In Fig. 12 we show the digit-error rate performance of our ID embedding scheme. Assuming that the IDs are uncoded, Bob needs to successfully detect all the L digits of an ID. The results confirm that FCJ can correctly convey the ID with high reliability. For example, when SNR = 8 dB, the uncoded ID embedding has a digit-error rate of $1.5 \times 10^{-5}$. So for a concatenation of $L$ of such identifiers, the detection success rate will be 0.9985 (e.g., 0.9985 = 98.5%, equivalent to correct decoding of a 22-bit binary sequence when BER = $7 \times 10^{-4}$ and $2^{22} \approx 10^7$). ID detection rate can be enhanced further if channel coding is employed for encoding the ID. In Fig. 12 we also depict the digit-error rate performance when residual $\delta_f = 5$ kHz. Even with such a high level of FO estimation error, the detection is very reliable. (When $\delta_f < 1$ kHz, the performance is the same as when $\delta_f = 0$. Those results are not shown.) At this point, the detection success rate will be 0 error rate of 0.
may reduce the summation of the terms in (2) with time-varying phase offsets of 2kHz performance of the schemes. Each CDF represents the BER of FO estimation, we use CDF curves for reporting the BER values low. To better represent transmissions. (In CBM [16], a two-pass mechanism is not implemented here.)

The second challenge is inaccurate FO estimation when OctoClock is not used. As reported in [15], [16] and discussed in Section IV-B, the FO estimation is often inaccurate in hardware experiments, which results in high BER for large frames. Denser modulation schemes and asymmetric constellation maps are often more sensitive to FO estimation errors. In FCJ, $|M_i|$ symbols of a symmetric constellation are encoded to 2$|M_i|$ symbols that are asymmetrically distributed in the constellation of $M_M$. To reduce the estimation error, we maintain a coarse estimate of $\delta_f$ based on previous transmissions and compensated for it before performing the normal FO estimation in each run. Yet, the estimate may be inaccurate and result in high BER. When averaging the BER of several transmissions, a (small) subset of transmissions with high BER values (e.g., $10^{-1}$) overshadows the rest of transmissions whose BER values are low. To better represent the results and separate the high BER values due to inaccurate FO estimation, we use CDF curves for reporting the BER performance of the schemes. Each CDF represents the BERs of 2000 transmissions. (In CBM [16], a two-pass mechanism is employed to significantly reduce the errors in FO correction and phase tracking. This mechanism is not implemented here.)

The third challenge is inaccurate frame detection when $\delta_f$ is high. The $\delta_f$ between our two USRPs at 2.4 GHz carrier frequency is in the range [0.6, 1.1] kHz. At Bob, the summation of the terms in (2) with time-varying phase offsets may reduce $\mathcal{R}(., n)$ at the preamble start. To address this issue, we first try to detect the frame using $\mathcal{R}(., n)$ and then calculate an initial FO estimate using the two complex values that have highest amplitudes in a version of (2) without absolute operator (similar to the method in [25]). The phase offset in this case is often an estimate of the phase offset between two samples that are 11 samples away from each other. Note that the embedded $\mathcal{I}D$ does not impact this phase offset. After compensating for this initial estimate, Bob recomputes $\mathcal{R}(., n)$.

In analyzing the measured payload BER, we excluded the cases when the frame or $\mathcal{I}D$ is not correctly detected. Basically, any frame or $\mathcal{I}D$ detection error will result in a packet drop. Nevertheless, the single-digit detection rate in our experiments is $>99\%$ even at the lowest transmission power in our setup ($-8$ dBm).

In Fig. 15, we compare the performance of FCJ with the four-state TCM to the one of the default scheme when OctoClock is not used. In these experiments, the SNR was so high ($\sim 35\text{ to }40$ dB) that the decoding errors were often due to inaccurate FO estimation only, even when Alice’s transmission power was set to its lowest values. Fig. 15(a) depicts the BER distribution when $M_i = \text{QPSK}$ and $M_M = 64$-QAM. Erroneous FO estimation and accumulation of phase error in this case results in slightly worse performance compared to the default scheme (as explained in Section IV-B). In the same

![Fig. 12. Digit-error rate in TD detection vs. SNR (emulations).](image)

![Fig. 13. BER of modulation unification versus received SNR at Bob when $M_M = 16$-QAM (emulations).](image)

![Fig. 14. BER versus received SNR of modulation unification at Bob when $M_M = 64$-QAM (emulations).](image)

![Fig. 15. Empirical cumulative distribution function of BER (USRP results).](image)
of the legitimate receiver. Yet, the LOS from Alice to Bob is vulnerable to wiretapping, in addition to side lobes. Also, in some circumstances, these techniques may fail to provide directionality (e.g., see [34]). Other signal precoding techniques such as beamforming and orthogonal binding (e.g., [17]) have also been shown to be insufficient (see Section I-A).

More recently, trellis-based encoders has been employed for providing data confidentiality [35], [36] and rate hiding [16]. In [16], the authors generalize conventional TCM to simultaneously hide the rate information/modulation scheme and boost the system resiliency (up to 5 dB) against interference. In order to eliminate the dependency among successive coded symbols, the authors proposed cryptographically interleaving blocks of $p$ symbols, where $p$ is a prime number. Large $p$ is required to prevent exhaustive search and known-plaintext attacks on the interleaved blocks. This can result in a large decoding delay whereas the delay of FCJ is less than $10 − 30$ symbols. More importantly, the authors encrypt the header but without providing any alternative for the sender identification.

VIII. CONCLUSIONS

Preventing the leakage of transmission attributes, including unencrypted PHY/MAC header fields and the payload’s modulation scheme, is challenging. In this paper, we proposed Friendly CryptoJam (FCJ) to effectively protect the confidentiality of lower-layer fields and prevent SCI-based traffic classification, rate-adaptation, plaintext, dictionary, modulation detection, and device-based tracking attacks. FCJ employs three main techniques. First, a message embedding technique is applied to overlay a frame-specific PHY-layer sender identifier on the frame preamble, obviating the need for MAC address and facilitating synchronous keystream generation and key lookup at PHY layer. Second, modulation-aware encryption is used to reliably secure plaintext headers. Third, an energy-efficient and indistinguishable modulation unification technique based on untraceable trellis-coded modulation (TCM) is used to obfuscate the payload’s modulation scheme and partially decorrelate the frame duration from the payload size. We showed theoretically and experimentally that such an identifier that is constructed using a series of shifted Barker sequences and is superposed it on the 802.11b preamble can be reliably detected at the receiver without considerably affecting typical preamble functions. The emulation and experimental results also verify that modulation unification and encryption are successful in hiding the true packet size, modulation scheme, and frame content without degrading the BER performance.
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